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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/885,039	
	Filing Date	06/21/2001	
	First Named Inventor	Michael Chen	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	6	Attorney Docket Number	AVIP0015 USA

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	1. A copy of notice to file missing parts of nonprovisional application. (1 page) 2. A copy of notice to submit the filing fee, surcharge and returned check charge. (3 pages)	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	WINSTON HSU
Signature	Winston Hsu
Date	9/19/2001

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/>		
Typed or printed name		
Signature		Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (09-00)

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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

930

Complete if Known

Application Number	09/885,039
Filing Date	06/21/2001
First Named Inventor	Michael Chen
Examiner Name	
Group Art Unit	
Attorney Docket No.	AVIP0015USA

METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
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Number

50-0801

Deposit
Account
NameNorth America International
Patent Office

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17
-
- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☐ Check ☐ Credit card ☒ Money
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

101 710 201 355 Utility filing fee

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Reissue filing fee

114 150 214 75 Provisional filing fee

Fee Paid

710

SUBTOTAL (1)

(\$) 710

2. EXTRA CLAIM FEES

Total Claims	20	-20** =	0	x	Fee from below	=	0	Fee Paid
Independent Claims	1	-3** =	0	x		=	0	
Multiple Dependent								

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 ** Reissue independent claims
over original patent110 18 210 9 ** Reissue claims in excess of 20
and over original patent

SUBTOTAL (2)

(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

105 130 205 65 Surcharge - late filing fee or oath

127 50 227 25 Surcharge - late provisional filing fee or
cover sheet

139 130 139 130 Non-English specification

147 2,520 147 2,520 For filing a request for *ex parte* reexamination112 920* 112 920* Requesting publication of SIR prior to
Examiner action113 1,840* 113 1,840* Requesting publication of SIR after
Examiner action

115 110 215 55 Extension for reply within first month

116 390 216 195 Extension for reply within second month

117 890 217 445 Extension for reply within third month

118 1,390 218 695 Extension for reply within fourth month

128 1,890 228 945 Extension for reply within fifth month

119 310 219 155 Notice of Appeal

120 310 220 155 Filing a brief in support of an appeal

121 270 221 135 Request for oral hearing

138 1,510 138 1,510 Petition to institute a public use proceeding

140 110 240 55 Petition to revive - unavoidable

141 1,240 241 620 Petition to revive - unintentional

142 1,240 242 620 Utility issue fee (or reissue)

143 440 243 220 Design issue fee

144 600 244 300 Plant issue fee

122 130 122 130 Petitions to the Commissioner

123 50 123 50 Petitions related to provisional applications

126 240 126 240 Submission of Information Disclosure Stmt

581 40 581 40 Recording each patent assignment per
property (times number of properties)146 710 246 355 Filing a submission after final rejection
(37 CFR § 1.129(a))149 710 249 355 For each additional invention to be
examined (37 CFR § 1.129(b))

179 710 279 355 Request for Continued Examination (RCE)

169 900 169 900 Request for expedited examination
of a design application

Other fee (specify) returned check charge

Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 220

SUBMITTED BY

Name (Print/Type)

WINSTON HSU

Registration No.

(Attorney/Agent)

41,526

Complete (if applicable)

Telephone

886-2-8923-7350

Signature

Winston Hsu

Date

9/19/2001

WARNING: Information on this form may become public. Credit card information should not
be included on this form. Provide credit card information and authorization on PTO-2038.Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on
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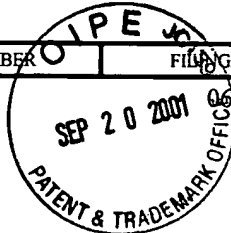
#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/885,039	09/21/2001	Michael Chen	AVIP0015USA



CONFIRMATION NO. 3626

FORMALITIES LETTER



OC000000006444187

WINSTON HSU
5F, No. 389
Fu-Ho Road
Tung-Ho City, Taipei Hsien, 234
TAIWAN

Date Mailed: 08/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

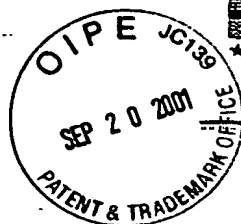
*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE SANDARA 00000005 09085839

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:617	50.00 OP



UNITED STATES
PATENT AND
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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

July 24, 2001

United World Chinese Commercial Bank
Taipei, Taiwan
Republic of China

Serial Number - 09/885039

The purpose of this communication is to inform you that your check number 217319029 dated, June 19, 2001 has been returned by the Bank. It was unpaid. The check was in the amount of \$750.00

The practice of the Office is to treat such returned checks as though the fee for which they were intended was never received. Therefore, the applicant is required to submit the following fee (s):

- X \$750.00 - filing fee & claims
- X \$130.00 - surcharge
- X \$ 50.00 - returned check charge

Note: Any fee amount that is paid on or after October 1, 2000 is subject to the new fee schedule.

A shortened statutory period for response to the requirements of this notice is set to expire Two Months or Sixty days, whichever is longer, from the date of this notice.



UNITED STATES
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WASHINGTON, D.C. 20231

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Failure to respond within this period will result in the application becoming
abandoned. Under rule 35 USC 133.

THE FILING RECEIPT WILL NOT BE MAILED OUT UNTIL ALL FEES ARE
PAID. IF THE FILING RECEIPT HAS ALREADY BEEN MAILED OUT, IT IS
VOID IF ALL THE FEES ARE NOT PAID IN A TIMELY MANNER.

Further correspondence with respect to this matter should include a copy of this
notice and be addressed to the following:

Commissioner of Patents and Trademarks
Box 17
Washington, DC 20231

Telephone Inquiries should be directed to the office of Initial Patent Examination
709 (308-3642)

Eleanor F. Kurtz
Office of Initial Patent Examination

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世華聯合商業銀行

10-96
220

CHECK NO. **217319029**
CLIENT NO. **85004**

DATE **JUNE 19, 2001**

A/C PAYEE
RETURNED UNPAID OF **6/20/01**

09/885039

USD750.00

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ONE SIGNATURE IS VALID FOR AMOUNT NOT EXCEEDING US\$300.00

COMMISSIONER OF PATENTS AND TRADEMARKS OF THE REPUBLIC OF CHINA

MEMO/REF: AFFIND-00187-9

TO CITIBANK (NEW YORK STATE)
BUFFALO, NEW YORK USA.

IF 217319029 00220008888

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